

# Notice of Transfer Claim

## 轉讓索賠權通知

*SEC v. ABC Viaticals, Inc.*  
*證交會 訴 ABC Viaticals, Inc.*

### **Seller/Transferor (賣方 / 轉讓者)**

Claim No. (索賠代號): ABA-\_\_\_\_\_

ABC Viatical Purchaser Number (ABC Viaticals 購買號碼): \_\_\_\_\_

Name (姓名): \_\_\_\_\_  
(English and Chinese) (英文及中文)

Address (住址): \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Phone (電話號碼): \_\_\_\_\_

Amount Received from purchaser (向買方收到的金額): \_\_\_\_\_  
(Please specify if US\$ or \_\_\_\_\_)  
(請註明是美金或其它貨幣 \_\_\_\_\_)

Signature (簽名): \_\_\_\_\_

### **Buyer/Transferee (買方 / 受讓人)**

Name (姓名): \_\_\_\_\_  
(English and Chinese) (英文及中文)

Address (住址): \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Phone (電話號碼): \_\_\_\_\_

Signature (簽名): \_\_\_\_\_

**SEE PAGE 2 FOR IMPORTANT INSTRUCTIONS AND NOTICES**

**重要指示及公告請參見第二頁**

**IMPORTANT INSTRUCTIONS AND NOTICES:**

- Both Parties must sign this Notice of Claim Transfer for it to be effective.
- Both Parties must complete a Court Approved Claim Form and submit it along with this Notice of Claim Transfer. **DO NOT SEND THIS FORM TO THE COURT.** If the Seller/Transferor has already submitted a Claim Form, there is no need to resubmit. Be sure to include the Claim Number on the Notice of Claim Transfer Form.
- You must include evidence of payment (ie. Copy of wire transfer or check). **If the transfer was a cash transaction, please provide the receipt of payment issued by the transferor.**
- A claim may only be transferred once, from the original ABC Viaticals, Inc. investor to a third-party. No other transfers will be recognized by the Receiver.
- Once this form is received by the Receiver's office, **unless the Receiver cannot verify the transfer,** Seller/Transferor will no longer receive notices or other announcements related to this claim.
- You must include this form and the required documents with each transfer of claim.
- **All claims are subject to approval by the Court. In addition, all transfers are subject to verification by the Receiver.**

**重要指示及公告**

- 雙方都需簽署此份轉讓索賠權通知，此份轉讓索賠權通知才能生效。
- 雙方都需填寫法庭批准的正式索賠表且一併與此份轉讓索賠權通知遞交給接管人。請不要將此表格寄給法庭。如您之前已經填寫並已遞交法庭批准的正式索賠表，您填寫此份轉讓索賠權通知時，不需再填一次。請確認您的索賠號碼包含在此轉讓索賠權通知中。
- 您必需提供付款證明 (例如: 支票複本或電匯證明複本)。 **如果您的轉讓是現金交易，您必需提供轉讓者簽發的付款收據。**
- 一個索賠權只能被轉讓一次，即是從最初的 ABC Viaticals, Inc. 投資人轉讓給第三者。接管人不會承認任何其它的轉讓。

- 一旦接管人辦公室收到此轉讓索賠權通知，除非接管人無法確認此轉讓，賣方 / 轉讓者將不會再收到任何與此索賠有關的通知或公告。
- 您必需將此表格及所有所需的文件一併呈遞給接管人。
- 所有索賠都必需經過法庭的批准。而且所有轉讓都必需經過接管人的確認。